		OCT 2 5 1999	S 55 33 33	Sheet 1 of 6	
	Form	PTO-1449 Modified RADEMEN	Docket No. MSFT-0035	Serial No. 09/290,363	
	C	Patent and Publications Cited by Applicant veral sheets if necessary)	Applicant Marcus Peinado et	*RECEIVED	
		epartment of Commerce and Trademark Office	Filing Date April 12, 1999	001 2 6 1999 Group 2165 密修up 2700	
OTHER D	OCUM	ENTS (Including Author, Title, Da	te, Pertinent Pages, I	Etc.)	
CHN	AA	"Aladdin Knowledge Systems Partners Comprehensive Solution for Electronic	_	=	
CON	ÀB	Amdur, D., "Metering Online Copy	right," January 16, 19	196, 2 pages	
CAN	Amdur, D. (ed.), "InterTrust Challenges IBM Digital Content Metering," Report On Electronic Commerce, July 23, 1996, 3(15), 1-2 and 16-18				
Armati, D., "Tools and standards for protection, control and presentation of data," April 3, 1996, 17 pages					
CAN	AE	Benjamin, R. et al., "Electronic ma superhighway," Sloan Management			

CAN	AD	April 3, 1996, 17 pages					
CRAN	AE	Benjamin, R. et al., "Electronic mark superhighway," Sloan Management R.	ets and virtual value chains on the information ev., Winter, 1995, 62-72				
CAN	AF	Cassidy, "A Web developers guide to 1997, 5 pages	content encapsulation technology," April,				
CLAN	AG	Clark, T., "Software Secures Digital September 25, 1995, 1 page	Content on Web," Interactive Week,				
CHN	AH	Cox, B., "Superdistribution," Idees F	Cox, B., "Superdistribution," <i>Idees Fortes</i> , September, 1994, 2 pages				
CHN	AI	Cox, B., "What if There Is A Silver I 1992, 8-9 and 76	Cox, B., "What if There Is A Silver Bullet," J. Object Oriented Programm., June, 1992, 8-9 and 76				
CIAN	AJ	· ·	Griswold, G.N., "A Method for Protecting Copyright on Networks," <i>IMA Intell.</i> Property Project Proceedings, January, 1994, 1(1), 169-178				
CAN	AK	Hauser, R.C., "Does licensing requir 1993, 9 pages	Hauser, R.C., "Does licensing require new access control techniques?" August, 1993, 9 pages				
CAN	AL	Hudgins-Bonafield, C. "Selling Knowledge on the Net," Network Computing, June 1, 1995, 102-109					
CHN	AM	"IBM spearheading intellectual property protection technology for information on the Internet," May, 1996, 3 pages					
ICW A RATERI	ביוס כוויס	TIONS H NGUYEN	DATE CONSIDERED 1/19/01				

EXAMINER CUONG H. NGUYEN

DATE CONSIDERED

1/19/01





,				Sheet 2 of 6		
		PTO-1449 Modified O 1 5 1999	Docket No.	Serial No. 09/290,363		
	List of ((Use se	Patent and Publication Cited by Applicant veral sheets if necessary)	Applicant Marcus Peinado et	al. RECEIVED OCT 26 1999		
		Pepartment of Commerce t and Trademark Office	Filing Date April 12, 1999	Group 2165 2700 2766 2766		
OTHER D	OCUM	ENTS (Including Author, Title, Da	te, Pertinent Pages, I	Etc.)		
CHN	AN	"Technological Solutions Rise to Co Electronic Works," Information Law	_			
CIAN	ÀO	Kaplan, M.A., "IBM Cryptolopes_ Management," December, 1996, 7	Super-Distribution ar	nd Digital Rights		
CHN	AP	Kent, S.T., "Protecting externally s September, 1980, 1-42 and 250-252		nall computers,"		
UAN	AQ		Kohl, U. et al., "Safeguarding Digital Library Contents and Users; Protecting Documents Rather Than Channels," D-Lib Magazine, September, 1997, 9 pages			
CAN	AR		Linn, R.J., "Copyright and Information Services in the Context of the National Research and Education Network," <i>IMA Intell. Property Project Proceedings</i> , January, 1994, 1(1), 1 and 10-20			
CAN	AS	McNab, L., "Super-distribution wo 1998, 2 pages	rks better in practical	applications," March 2,		
CAN	AT	Moeller, M., "NetTrust lets Cybers November 20, 1995, 12(48), 1 pag	-	Account," PC Week,		
CAN	AU	Moeller, M., "IBM takes charge of SET," April, 1996, 4 pages	E-commerce; Plans cl	lient, server apps based on		
CAN	AV	Pemberton, J., "An ONLINE Interval 1996, 6 pages	view with Jeff Crigler	at IBM InfoMarket," July		
CLATV	AW "LicensIt: kinder, gentler copyright? Copyright management system links content,					
CHAN	AX	Sibert, O. et al., "The DigiBox: A Self-protecting Container for Information Commerce," First USENIX Workshop on Electronic Commerce, July 11-12, 1995, 171-183				
CHA	AY	Sibert, O. et al., "Securing the Con July, 1995, 1-11	tent, Not the Wire, fo	r Information Commerce,		
EXAMINI	ER C	WONG H. NGUYEN	DATE CONSIDER	RED 1/19/01		

Form PTO-1449 Modified

OCT 2 5 1999

Docket No. MSFT-0035 Serial No. 09/290,363

List of Patent and Publications

(Use several sheets if necessary)

Applicant Marcus Peinado et al. RECEIVED

		Department of Commerce t and Trademark Office	Filing Date April 12, 1999	Group 2165 27@fOUp 2700			
OTHER D	OCUM	ENTS (Including Author, Title, Da	te, Pertinent Pages,	Etc.)			
CHN	AZ Smith, M.G. et al., "A New Set of Rules For Information Commerce," <i>Electronic Commerce</i> , November 6, 1995, 34-35						
CAN	БA	Stefik, M., 'Trusted Systems," March, 1997, 8 pages					
CVATV	BB	Stefik, M., "Shifting the Possible: I Rights Challenge Us to Rethink Dig 137-159	•				
CIAN	BC	Tarter, J. (ed.), "The Superdistribut 3(6), 1-6	tion Model," Soft Tren	nds, November 15, 1996,			
CLAN	BD	"Rights Management in the Digital 1997, 4, 3 pages	"Rights Management in the Digital Age: Trading in Bits, Not Atoms," Spring, 1997, 4, 3 pages				
CAN	BE	Weber, R., "Digital Rights Management Technology," October, 1995, 35 pages					
CHN	BF	1	White, S.R. et al., 'ABYSS: An Architecture for Software Protection," <i>IEEE Trans. On Software Engineering</i> , June, 1990, 16(6), 619-629				
CAN	BG		White, S.R. et al., "ABYSS: A trusted architecture for software protection," <i>IEEE Symposium on Security and Privacy</i> , April 27-29, 1987, 38-51				
CAN	вн	"Boxing Up Bytes," 2 pages					
CAN	BI		Ramanujapuram, A. et al., "Digital Content & Intellectual Property Rights: A specification language and tools for rights management," December, 1998, 20-23				
							
EXAMIN	er c	UONG H. NGUYEN	DATE CONSIDE	RED 1/20/01			

Form PTO-1449 Modified

Docket No. MSFT-0035

Serial No. 09/290,363

List of Patent and Publication (Use several sheets if necessary)

Applicant Marcus Peinado et al.

U.S. Department of Commerce Patent and Trademark Office

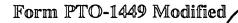
Filing Date April 12, 1999 Group 2165 2766

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
CIAN	BJ	3,718,906	02/27/73	Lightner	340	147 R
CLAN	BK	4,323,921	04/06/82	Guillou	358	114
CHN	BL	4,528,643	07/09/85	Freeny, Jr.	364	900
CAN	BM	4,658,093	04/14/87	Hellman	380	25
CON	BN	4,683,553	07/28/87	Mollier	380	4
CAN	ВО	4,827,508	05/02/89	Shear	380	4
CAN	BP	4,916,738	04/10/90	Chandra et al.	380	25
CHN	BQ	4,953,209	08/28/90	Ryder, Sr. et al.	380	23
CAN	BR	4,977,594	12/11/90	Shear	380	4
CLAN	BS	5,050,213	09/17/91	Shear	380	25

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO	
CUN	BT	EP 0 715 246 A1	06/05/96	EPO	X	
CHN	BU	EP 0 715 247 A1	06/05/96	EPO	X	
CION	BV	WO 93/01550	01/21/93	PCT	X	
CLAN	BW	WO 96/13013	05/02/96	PCT	X	
EXAMINE	EXAMINER CUONG H. NGUYEN			DATE CONSIDERED 1/20/01		



Docket No.

Serial No. 09/290,363

List of Patent and Publication Cited by Applicant
(Use several sheets if necessary)

0CT 2 5 1999 Spplicant

Marcus Peinado et al.

RECEIVED

U.S. Department of Commerce Patent and Trademark Office

Filing Date
April 12, 1999

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
CHAIN	BX	5,103,392	04/07/92	Mori	395	725
CAN.	BY	5,103,476	04/07/92	Waite et al.	380	4
CHAN	BZ	5,109,413	04/28/92	Comerford et al.	380	4
CAN	CA	5,117,457	05/26/92	Comerford et al.	380	3
CHAN	CB	5,193,573	03/16/93	Chronister	137	315
CAN	CC	5,222,134	06/22/93	Waite et al.	380	4
CIAN	CD	5,319,705	06/07/94	Halter et al.	380	4
CAN	CE	5,410,598	04/25/95	Shear	380	4
CAN	CF	5,509,070	04/16/96	Schull	380	4
CHIN	CG	5,629,980	05/13/97	Stefik et al.	380	4

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translatio	on NO
CAN	CH	WO 96/24092	08/08/96	PCT	X	
CHAIN	CI	WO 96/27155	09/06/96	PCT	X	
CAN	CJ	WO 97/25798	07/17/97	PCT	x	
CAN	CK	WO 97/43761	11/20/97	PCT	X	
CLAN	CL	WO 98/09209	03/05/98	PCT	X	
EXAMINE	EXAMINER CUONG H. NGUYEN			DATE CONSIDER	ED 1/20	oi

Form PTO-1449 Modified

OCI 5 2 1000 12

Docket No. MSFT-0035

Serial No. 09/290,363

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

Applicant Marcus Peinado et al.

U.S. Department of Commerce Patent and Trademark Office Filing Date
April 12, 1999

Group 2 2766—

2165

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
CHN	CM	5,634,012	05/27/97	Stefik et al.	395	239
CIAN	CN	5,638,443	06/10/97	Stefik et al.	380	4
CAN	CO	5,673,316	09/30/97	Auerbach et al.	380	4
CLARV	CP	5,710,887	01/20/98	Chelliah et al.	395	226
RAN	CQ	5,715,403	02/03/98	Stefik	395	244
CHN	CR	5,765,152	06/09/98	Erickson	707	9
Clan	CS	5,809,144	09/15/98	Sirbu et al.	380	25
CON	CT	5,845,281	12/01/98	Benson et al.	707	9
						·

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO	
CAN	CU	WO 98/10381	03/12/98	PCT	X	
CHIV	CV	WO 98/21679	05/22/98	PCT	X	
DAN	CW	WO 98/24037	06/04/98	PCT	Х	
CHN	CX	WO 98/37481	08/27/98	PCT	X	
EXAMINE	r C	UONG H. N	GUYEN	DATE CONSI	DERED 1/20/01	